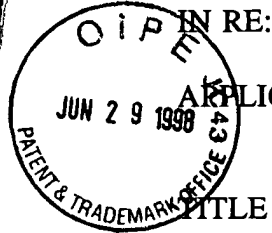


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



IN RE:

APPLICATION OF

: DOMINIC P. BEHAN and
DEREK T. CHALMERS

TITLE

: A METHOD OF IDENTIFYING MODULATORS
OF CELL SURFACE MEMBRANE RECEPTORS
USEFUL IN THE TREATMENT OF DISEASE

SERIAL NUMBER : 09/060,188

FILING DATE : April 14, 1998

ATTORNEY DOCKET NO. : 3086-9

RECEIVED
JUL 09 1998
MAIL SERVICE CENTER

TO: Commissioner of Patents and Trademarks
Washington, DC 20231

**RESPONSE TO: NOTICE TO FILE MISSING PARTS OF APPLICATION
PRELIMINARY AMENDMENT
SEQUENCE LISTING**

Dear Sir:

Transmitted herewith for filing in the above referenced continuation-in-part patent application are the following:

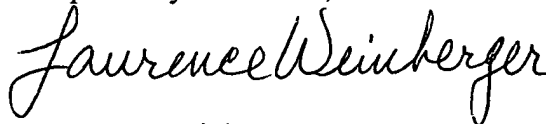
1. A copy of the *Notice To File Missing Parts Of Application*;
2. A Declaration and Power of Attorney executed by the inventors;
3. A Verified Statement Claiming Small Entity Status;
4. A check in the amount of \$724 representing the Small Entity Fees for:

Filing Fee	\$395
Additional Claims Fee	\$264
Surcharge Fee	\$ 65

5. A Preliminary Amendment consisting of 4 pages;
6. A Sequence Listing consisting of 11 pages numbered 77 through 87;
7. A 3.5 inch, 1.44Mb floppy diskette (labeled as "09/060,188 Sequence Listing") containing a sequence listing saved as "ARENA.APP" in ASCII format;
8. A verified statement by Suzannah K. Sundby as required under 35 C.F.R. §1.821;
9. An Express Mail Certification; and
10. A self-addressed stamped postcard.

Should there be any questions, I may be reached at the address and telephone number listed below.

Respectfully submitted,



Laurence Weinberger
Attorney for Applicants
USPTO Reg. No. 27,965
882 S. Matlack St., Ste. 103
West Chester, PA 19382
(610) 431-1703
(610) 431-4181 (fax)

enclosures

disk
filed
w/ paper

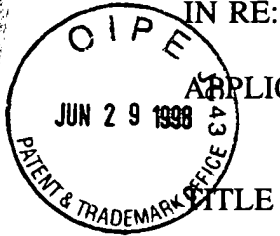
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GAO 1683

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



IN RE:

APPLICATION OF

: DOMINIC P. BEHAN and
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TITLE

: A METHOD OF IDENTIFYING MODULATORS
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Date Of Deposit: June 29, 1998

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

07/07/1998 JARTIS 00000052 09060188

01 FC:205	65.00 OP
02 FC:201	395.00 OP
03 FC:203	264.00 OP

Laurence Weinberger
Laurence A. Weinberger



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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0232/0429 04/14/98 BERAM

0232/0429

LAURENCE A WEINBERGER
PO BOX 1663 SUITE 103
283 S MATLACK ST
WEST CHESTER PA 19380-0053

NOT ASSIGNED

1643

DATE MAILED:

04/29/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1498.

☒ 1. The statutory basic filing fee is:

- ☐ missing.
☒ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ 528 for 24 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202